STATE OF MAINE COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES Mail: 135 State House Station, Augusta, Maine 04333-0135 Office: 242 State Street, Augusta, Maine Tel: (207) 287-4179 Fax: (207) 287-6775 Web site: www.maine.gov/ethics Electronic Filing: www.mainecampaignfinance.com 25 2 m GOVERNMENTAL ETHICS 2004 PANEAUGN ENANGERERORE ACTICES AUGUSTA PRIVATE MACINIMATED TEGISPATAME AND COUNTY CANDIDATES (Please Complete ALL Entries) Name of CANDIDATE \_\_\_\_\_ALAN J Hous TON Mailing address 586 LEWISTON RD **CHECK IF CHANGED** SINCE PREVIOUS City, zlp code TOPSHAM 04086 REPORT 🚨 Telephone number 7294867 Fax NA E-mail NA E-ma Name of Candidate's Committee, if any \_\_\_ Election Year 2004 Office Sought County Commissioner District Number 1 Name of TREASURER ALAN J. Houston Mailing address 586 LEWISTON RD **CHECK IF CHANGED** SINCE PREVIOUS City, zip code TopsHAM 04086 REPORT Telephone number 7294867 Fax N/4 E-mail N/4 Type of Report (check applicable): Due\_date: Period included: Beginning of campaign - December 31, 2003 January 15, 2004 ( ) January 2004 Semiannual\* June 2, 2004 Last Report (if any) - May 27, 2004 ) 6-Day Pre-Primary May 28, 2004 - July 13, 2004 ( ) 42-Day Post-Primary July 20, 2004 6-Day Pre-General October 27, 2004 July 14, 2004 - October 21, 2004 ( ) 42-Day Post-General December 14, 2004 October 22, 2004 - December 7, 2004 \*This report is required only for candidates who have raised or spent more than \$500 during 2003. 

( ) Amendment to:

( ) Other (specify):

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT AND COMPLETE.

Candidate's Signature

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ETHICS COMMISSION

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ALAN J. Houston
CANDIDATE'S FULL NAME

Page \_\_\_\_ of \_\_\_\_ (Schedule A only)

### SCHEDULE A CASH CONTRIBUTIONS

Itemize each cash contribution of more than \$50 from the same source during the reporting period. Total contributions from the same source may not exceed \$250 in any election. The primary and general elections are considered separate elections. Do NOT include in-kind contributions on this schedule.

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP (totaling more than \$50 this report period)	OCCUPATION, PRINCIPAL PLACE OF BUSINESS (as applicable)	TYPE (use key code)	TNUOMA	TOTAL (from same source this election)
9/23/04	ALAN J Houston 586 LEWISTON RD Tops Ham 04086 ALAN J. Houston 586 LEWISTON RD Tops Ham 04086	SELF EMPLOYED	/	400.00	
10/12/04	ALAN J. Houston 586 LEWISTON RD TOPSHAMM 04086	SELF EMPLOYERS	/	600.00	
·	·				
	,				
					,
	·				
1. Total cash	contributions (this page only)			1000.00	•

**Key Codes:** 

1 = Candidate and Candidate's Spouse

2 = Other Individuals

3 = Commercial Sources (corporations, etc.)

4 ≈ Political Action Committees

5 = Political Party Committees

6 = Other Candidates and Candidate Committees

ALAN J. Houston
CANDIDATE'S FULL NAME

# SCHEDULE A (Summary) SUMMARY OF CASH CONTRIBUTIONS

Enter total of all Schedule A pages. Summarize and enter total for each Schedule A key code. Enter aggregate of cash contributions \$50 or less each. Total of all Schedule A pages must equal total of all key codes.

TOTAL A	LL SCHEDULE A PAGES	Must Equal Total All Key Codes Below /000,
Total Num	ber of Schedule A Pages	
Key Codes		Totals by Key Code from Schedule A pages
1	Candidate and Candidate's Spouse	1000.00
2	Other Individuals	
3	Commercial Sources (corporations, etc.)	
4	Political Action Committees	
5	Political Party Committees	
6	Other Candidates and Candidate  Committees	
	TOTAL ALL KEY CODES	Enter on Schedule G, line 2(a), Col. 2
Aggregat (Not It	e Cash Contributions \$50 or Less Each emized by Key Code)	Enter on Schedule G, line 2(h), Cai. 2

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ALAN J. Houston

Page \_\_\_ of \_\_\_ (Schedule A-1 only)

### SCHEDULE A-1 IN-KIND CONTRIBUTIONS/EXPENDITURES

Itemize all goods, services, facilities, or discounts received and expended, including their estimated fair market value.

Enter <u>contributor Information</u> If the fair market value of donated item or service is <u>more</u> than \$50.

Total contributions from the same source may not exceed \$250 in any election.

The primary and general elections are considered separate elections.

DATE RECEIVED	CONTRIBUTOR (name, address, zip code, occupation, principal place of business)	DESCRIPTION (of goods, services, facilities, or discounts received and expended)	TYPE (use key code)	VALUE (estImated fair market value)	TOTAL (from same source this election)
			_		
		-			
1. Total in-kir (this pa	nd contributions/expenditures more t ge only)	han \$50 each		00,00	

#### Key Codes:

1 = Candidate and Candidate's Spouse

2 = Other Individuals

3 = Commercial Sources (corporations, etc.)

4 = Political Action Committees

5 = Political Party Committees

6 = Other Candidates and Candidate Committees

ALAN J. HOUSTON
CANDIDATE'S FULL NAME

## SCHEDULE A-1 (Summary) SUMMARY OF IN-KIND CONTRIBUTIONS/EXPENDITURES

Enter total of all Schedule A-1 pages. Summarize and enter total for each Schedule A-1 key code. Enter aggregate of in-kind contributions/expenditures of \$50 or less each. Total of all Schedule A-1 pages must equal total of all key codes.

TOTAL A	LL SCHEDULE A-1 PAGES	Must Equal Total All Key Codes Below
Total Num	nber of Schedule A-1 Pages	
Key Codes		Totals by Key Code from Schedule A-1 pages
1	Candidate and Candidate's Spouse	
2	Other Individuals	
3	Commercial Sources (corporations, etc.)	
4	Political Action Committees	
5	Political Party Committees	
6	Other Candidates and Candidate Committees	
	TOTAL ALL KEY CODES	Enter on Sched. G, lines 2(c) & 7(f), Col. 2
Aggregate Each (Not	e in-kind contributions/expenditures \$50 or Less it Itemized by Key Code)	Enter on Schad. G, lines 2(d) & 7(g), Col. 2

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Page / of / (Schedule B only)

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1. Total expenditures this page only (Total each column) .....

(Complete lines 2 and 3 on last page of Schedule B

Total from attached Schedule B pages ٩i

(add lines 1 and 2) ..... TOTAL EXPENDITURES BY CATEGORY esi.

EXPENDITURES SCHEDULE B

41m J. Houston

CANDIDATE'S FULL NAME

temize each expenditure made or authorized during the report filling period by category of the purpose for that expenditure. Use "Other" and "Remarks" to include and expenditure that may not be clearly itemized under one of the other categories.

REMARKS ni esocruq (Describe remarks) OTHER SALARIES & COMPENSATION 185,00 PRINTING / POSTAGE, etc. (Direct mail, campaign lit., 339.27 signs, etc.) g newspaper, etc.) **ADVERTISING** (Radio, TV, 320. equipment, etc.) OPERATIONS Fundraising, GENERAL travel, BRUNSWICK INSTANT CRYER Bostyl SERVICE NAME OF EACH PAYEE united states THE TOPSHAM PRINTING EXPENDITURE MADE OR AUTHORIZED 123/04 12/01 0

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g

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00,00

27

524

320,00

80.00

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Enter on Schedule G, line 7(s), Col. 2

Enter on Schedule G. Inter 7(d), Col. 2

Enter on Schedule 6, line 7(c), Col. 2

Enter on Schedule G. line 7(b), Col.2

Enler on Schedule G, line 7(a), Col. 2

CGEEP Form C-1/B (Rev. 5/04) (Duplicate as needed)

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Page \_\_\_\_ of \_\_\_\_ (Schedule C only)

### **\$CHEDULE C** LOANS/LOAN REPAYMENTS

	COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4
IDENTITY OF LENDER	LOAN BALANCE FROM PREVIOUS PERIOD	AMOUNT LOANED THIS PERIOD	AMOUNT REPAID/ FORGIVEN THIS PERIOD	UNPAID LOANS COLUMNS (1+2) - 3
vity Thic Period		Enter on Schedule G, line 3(a), Col. 2	line 8(a), Col. 2	00.00
•	AUDCES			
FULL NAME AND ADDRESS OF LENDER	LOAN BALANCE FROM PREVIOUS PERIOD	AMOUNT LOANED THIS PERIOD	AMOUNT REPAID/ FORGIVEN THIS PERIOD	UNPAID LOANS COLUMNS (1+2) - 3
· · · · · · · · · · · · · · · · · · ·		Enter on Schedule G, line 3(b), Col. 2	Enter on Schedule G, line 8(b), Col. 2	00.00
-				
FULL NAME AND ADDRESS OF LENDER	LOAN BALANCE FROM PREVIOUS PERIOD	AMOUNT LOANED THIS PERIOD	AMOUNT REPAID/ FORGIVEN THIS PERIOD	UNPAID LOANS COLUMNS (1+2) - 3
vity This Period		Enter on Schedule G, line 3(c), Col. 2	Enter on Schedule G, line 8(c), Col. 2	00.00
	rity This Period	PERIOD  PERIOD	IDENTITY OF LENDER  FROM PREVIOUS PERIOD  FINE THIS PERIOD  FINE T	PERIOD  AMOUNT LOANED THIS PERIOD  FORGIVEN THIS PERIOD  FILE PERIOD  FORGIVEN THIS PERI

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ALAN J. HOUSTON

CANDIDATE'S FULL NAME

SCHEDULE D
PLEDGES

Page \_\_\_\_ of \_\_\_\_ (Schedule D only)

DATE OF PLEDGE	NAME AND ADDRESS OF PERSON MAKING PLEDGE	occupation, PRINCIPAL PLACE OF BUSINESS	AMOUNT
			:
-			
	·		
1. Total pledge	es this page only		00.00
	lines 2 through 4 on <u>last page</u> of Schedule D <u>c</u> attached Schedule D pages (to	_	
3. Aggregate p			
4. TOTAL PLEI	00,00		

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Page \_\_\_\_ of \_\_\_ (Schedule E only)

### SCHEDULE E TOTAL OUTSTANDING BILLS (OTHER THAN LOANS)

List unpaid bills at close of this period. List bills previously reported if still unpaid.

Do not include actual expenditures on this schedule.

DATE OBLIGATION INCURRED	CREDITOR'S NAME AND ADDRESS	PURPOSE	AMOUNT
	·		
1. Total outs			
(Complete 2. Total from			
3. TOTAL O	UTSTANDING BILLS (add lines 1 and 2)		00.00

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ALAW J. Houston
CANDIDATE'S FULL NAME

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### SCHEDULE F CAMPAIGN EQUIPMENT/PROPERTY INVENTORY

List items with an aggregate value in excess of \$50 at close of this period. Items must be listed until aggregate fair market value is \$50 or less, or until item is reported in Part II. Include only equipment or property that may be converted to personal use and is not exclusive to the campaign such as a computer, telephone/fax, photocopier, automobile, etc. Exclude signs, stationery, campaign literature, etc.

#### PART I - ONGOING INVENTORY OF CAMPAIGN PROPERTY

DATE RECEIVED (from Schedule A) or DATE PURCHASED (from Schedule B)	DESCRIPTION OF EQUIPMENT OR PROPERTY	PURCHASE PRICE OR ESTIMATED VALUE WHEN ACQUIRED	FAIR MARKET VALUE (at close of this reporting period)
		***	
1. TOTAL ESTIMA AT CLOSE OF T	TED VALUE OF CAMPAIGN PROPERT	<b>Y</b>	00,00

### PART II - SALES OR TRANSFERS OF CAMPAIGN PROPERTY THIS PERIOD

			Column 1	Column 2
DATE SOLD, DONATED OR TRANSFERRED	NAME AND ADDRESS OF PURCHASER, DONEE, OR TRANSFEREE	DESCRIPTION OF PROPERTY	SALE PRICE OR FAIR MARKET VALUE	VALUE OF DONATION TO CHARITABLE OR EDUCATIONAL ORGANIZATION
2. TOTAL ACT	IVITY FROM EQUIPMENT	PROPERTY DISPOSAL S	Enter on Schedule G, Ilns 4,	
THIS PERIO	DD	PROPERTY DISPOSALS	Col. 2	00,00

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SCHEDULE G (Page 1)

SUMMARY SECTION

NOTE: Please read the instructions for completing this Schedule carefully. Complete other applicable schedules before completing this schedule. Enter column 3 figures from last report in column 1 below. Enter column 2 figures for this reporting period as indicated for each line. Add columns 1 and 2 for each row and enter the sum in column 3. If this is your first report, leave column 1 blank; amounts in columns 2 and 3 will be the same.

RECEIPTS	COLUMN 1 COPY FROM COLUMN 3 LAST REPORT	COLUMN 2  TOTALS FOR THIS  REPORTING PERIOD	COLUMN 3 CAMPAIGN TOTALS TO DATE (add cols. 1 & 2)
ACCOUNT BALANCE BROUGHT FORWARD     FROM PREVIOUS CAMPAIGN	67,03		67,03
(Line 1 applies only if transferring surplus funds			

2. (	CON	TRIBU	TIONS	WITHOUT	LOAN
------	-----	-------	-------	---------	------

(a) More than \$50 cash each -- All Key Codes

from a previous campaign to this new campaign.)

- (b) Aggregate \$50 or less cash each
- (c) In-kind more than \$50 each All Key Codes
- (d) Aggregate in-kind \$50 or less each
- (e) TOTAL CONTRIBUTIONS WITHOUT LOANS [add lines 2(a) - (d)]

750.00	From Schedule A (Summary)	1750,00
00.00	From Schedule A (Summary)	00.00
00.00	From Schedule A-1 (Summary)	00.00
50.56	From Schedule A-1 (Summary) 20,90	71.46
800,56	1020,90	1821.46

#### 3. LOANS

- (a) Candidate and Candidate's Spouse
- (b) Other Sources
- (c) Financial Institutions
- (d) TOTAL LOANS (add lines 3(a) (c))
- 4. SALE OF CAMPAIGN EQUIPMENT/PROPERTY
- 5. OTHER RECEIPTS (interest, etc. not included elsewhere)
- 6. TOTAL RECEIPTS WITH LOANS {add lines 1, 2(e), 3(d), 4 & 5]

00.00	CO CO	00,00
00.00	From Schedule C, line 2, cal. 2	00,00
00.00	From Schedule C, line 3, col. 2	00.00
00.00	00.00	00.00
	Composite F. H. O	

00.00	OO - OO	00.00
- a - mn		

00.00	00.00	00.00

867.59	1020,90	1888.49
	<u> </u>	

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ALAN J. HOUSTON

Oct 25,2004

#### SCHEDULE G (Page 2) **SUMMARY SECTION**

EXPENDITURE\$	COLUMN 1	COLUMN 2	COLUMN 3
	COPY FROM COLUMN 3 LAST REPORT	TOTALS FOR THIS REPORTING PERIOD	CAMPAIGN TOTALS TO DATE (add cols. 1 & 2)
7. EXPENDITURES WITHOUT LOAN REPAYMENTS			•
(a) General Operations	00,00	Prom Schedule 6, tino 2a	00.00
(b) Advertising	130.00	From Schedule B, line 3b	450,00
(c) Printing/Postage, etc.	302,97	524,27	827.24
(d) Salaries & Compensation	00.00	From Schedule B, line 3d	00.00
(e) Other	00.00	From Schedule B, line 3e	00.00
(f) In-kind more than \$50 each	00,00	From Schedule A-1 (Summery)	00.00
(g) Aggregate In-kind \$50 or less each	50,56	From Schedule A-1 (Summery)	71.46
(h) TOTAL EXPENDITURES WITHOUT LOAN REPAYMENTS [add lines 7(a) • (g)]	483,53	865.17	1348,70
8. LOAN REPAYMENTS		,	
(a) Candidate and Candidate's Spouse	00,00	From Schedule C, line 1, col. 3	00.00
(b) Other Sources	00.00	From Schedule C, line 2, col. 3	00.00
(c) Financial Institutions	00.00	From Schedule C, line 3, col. 3	00.00
(d) TOTAL LOAN REPAYMENTS [add lines 8(a) - (c)]	00.00	00.00	00.00
9. TOTAL EXPENDITURES WITH LOAN REPAYMENTS		T	
[add lines 7(h) & 8(d)]	483,53	865,17	1348,70
			41
10. ACCOUNT BALANCE (subtract line 9 from line 6)	384.06	155.73	539.79